



# Introduction

## Beyond Single Loop PID Control: Model-Based and Combined Feedforward-Feedback Control

ISA Philadelphia Chapter

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***INDUSTRIAL PROCESS OPTIMIZATION***

March 19, 2003

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# OUTLINE

## ■ Outline

- **Basic and Advanced Regulatory Control Definitions**
- **Combined Feedforward-Feedback Control**
- **Example 1: Combined Feedforward-Feedback Control of Distillation Column**
- **Combined Feedforward-Feedback Tuning Methodology**
- **Model-Based Control and Controller Types**
- **Example 2: Cooling Tower Water Quality Composition Control**
- **Summary**





# Basic Regulatory Control

- **Primary Process Control Objective**
  - **Controlled Variable (CV) stays within a predefined limit around the setpoint irrespective of routine disturbances that routinely affect the control loop**
- **Feedback Control**
  - **Single loop feedback control is adequate to meet the primary control objective for most processes**
  - **Effect of disturbances is not taken into account in advance**



# Basic Regulatory Control (Cont'd)

- **Basic Regulatory Control (BRC)**
  - **Basic instrumentation and control system hardware, software, and configuration required to safely operate the plant on a second-to-second basis**
  - **Should be able to handle routine load disturbances**
  - **Includes sequential regulatory control and batch logic if required**
  - **Includes required equipment interlock logic and safety, health & environmental controls**



# Advanced Regulatory Control

- **Advanced Regulatory Control (ARC)**
  - **Extends control system capability beyond regulatory and sequential control to move the process closer to its economic optimum**
  - **Typically implemented to:**
    - ❖ **Improve operating efficiency and profitability**
    - ❖ **Increase plant production**
    - ❖ **Improve plant stability and operability**
    - ❖ **Better reject routine control loop disturbances**





# Advanced Regulatory Control (Cont'd)

- **Advanced Regulatory Control (ARC)**
  - **Coordinates or ties together control for multiple loops**
  - **Typical Advanced Regulatory Control industrial applications:**
    - ❖ **Cascade control**
    - ❖ **Override control**
    - ❖ **Combined feedforward-feedback control**
    - ❖ **Model-based control (including Model Predictive Control)**
    - ❖ **Inferential composition control**



# Feedforward Control

- **Feedforward Control**
  - **Sustained control error must have enough economic impact to justify higher design and implementation costs**
  - **Can minimize adverse effects of:**
    - ❖ **Large magnitude/frequent input disturbances**
    - ❖ **To some degree significant process lag**
  - **Effect of disturbance variable(s) on CV must be measurable**
  - **Cost/complexity trade-off**





# Feedforward and Combined Feedforward-Feedback Control

- **Why Use Combined Feedforward-Feedback Control?**
  - **Feedforward control only is not practical because it requires:**
    - ❖ **Accurate modeling of the process**
    - ❖ **Ability to predict and model the effect of all possible disturbance variable(s) on the primary controlled variable (CV)**
  - **So Combined Feedforward-Feedback control is generally used**





# Feedforward Control Types

- **Steady-State Feedforward Control**
  - Most simple and direct approach
  - No dynamic effects included
  - Instantaneous correction applied to manipulated variable
  - May not achieve control objective if dynamic effects are significant (and they usually are...)





# Feedforward Control Types (Cont'd)

- **Dynamic Feedforward Control**
  - **Takes into account:**
    - ❖ **Process dynamics (usually most significant)**
    - ❖ **Disturbance dynamics**
    - ❖ **Sensor dynamics**
  - **Can be implemented by:**
    - ❖ **Generic dynamic compensator (most common)**
    - ❖ **Application-specific feedforward control strategy and calculation**

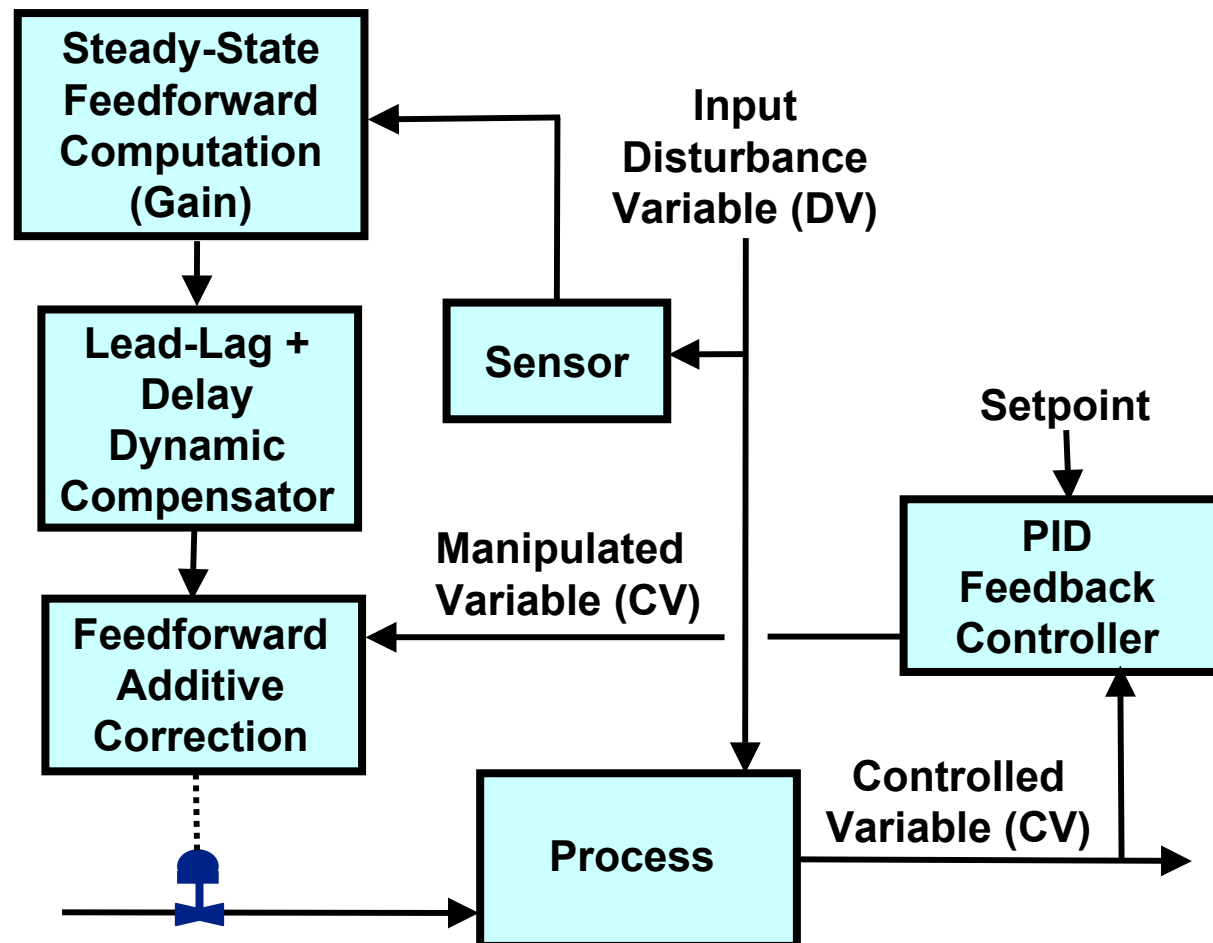




# Feedforward Dynamic Compensation

## ■ 'Generic' FFD-FDBK Dyn. Compensator

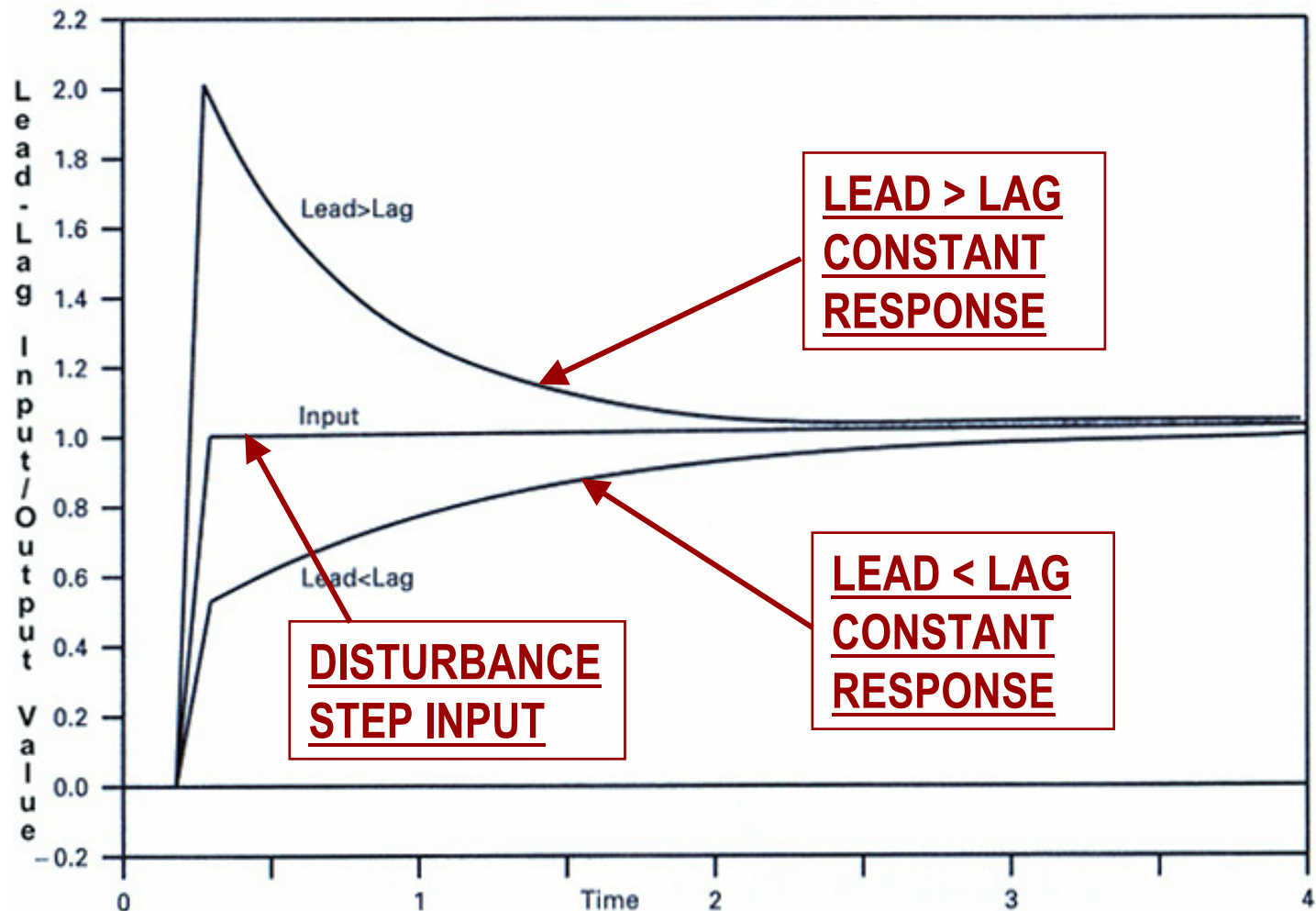
DYNAMIC FEEDFORWARD CONTROL APPLIED AS AN ADDITIVE CORRECTION TO PID FEEDBACK CONTROLLER OUTPUT





# Feedforward Dynamic Compensation (Cont'd)

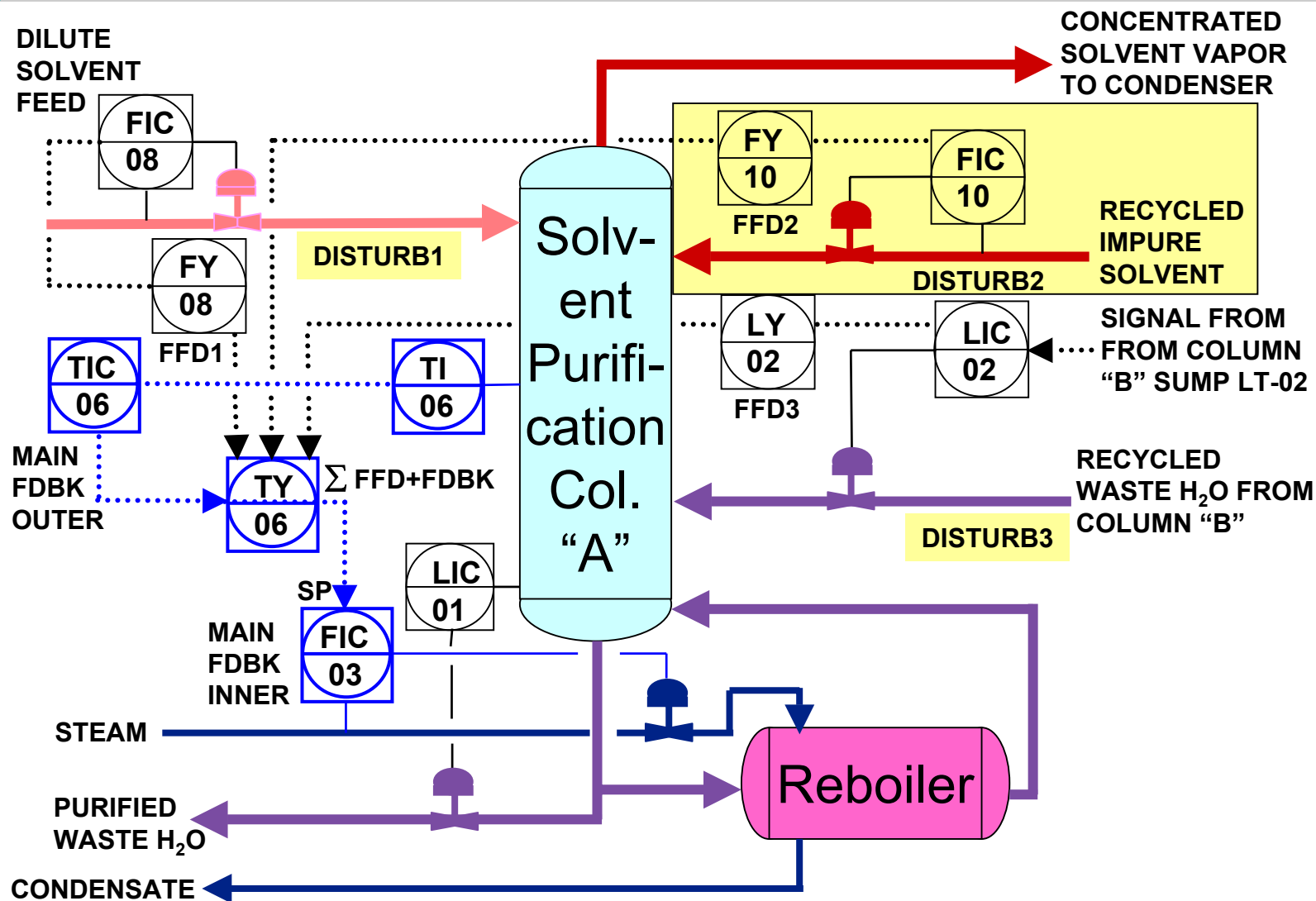
- Feedforward Dynamic Compensation – Response of a Lead-Lag Dynamic Compensator to Step Input Change







# Example 1: Distillation Column Combined FFD-FDBK Control Process Schematic







# General Preparation for Tuning

- **BEFORE** conducting any tuning exercises work closely with the operations personnel to:
  - Establish the control loop performance criteria
  - Determine allowable operating and understand safety limits for the control loop and other affected variables
  - Obtain any necessary operations work and safety permits if required
- **Irrespective of tuning method used:**
  - Familiarize yourself with the process (there is no substitute for thorough process understanding!)
  - Understand in detail the data acquisition and control system and algorithms used including optional features





# Feedforward Tuning Methodology

## ■ Recommended Procedure

- **ALWAYS** conduct at least 1-2 process response tests

❖ Using an appropriate input disturbance such as a step or pulse (symmetrical or asymmetrical doublet pulse preferred)

❖ Conduct process response tests at different parts of the normal operating range of the controlled variable

➤ Average the results, assess nonlinearity

- If cascades are present, conduct process response test(s) and tune inner feedback loop first
- Conduct process response test(s) and tune the primary feedback controller



# Feedforward Tuning Methodology (Cont'd)

- **Recommended Procedure (Cont'd)**
  - Continuously monitor and record the input disturbance variable (DV) and the primary feedback controlled variable (CV)
  - Put primary feedback controller influenced by input disturbance (feedforward) into Manual mode and allow the controlled variable to reach steady state
  - Manipulate the upstream variable that causes the input disturbance (e.g. vessel feed flow controller, level controller output, etc.) to create a series of input steps or pulses of varying magnitude and duration





# Feedforward Tuning Methodology (Cont'd)

- **Recommended Procedure (Cont'd)**
  - **Observe effect of disturbance on the primary CV and insure process response is in direction expected and magnitude of response is well above noise band**
  - **Put primary feedback controller influenced by input disturbance (feedforward) back into Auto mode**
  - **Allow primary controlled variable to reach steady state at same setpoint**
  - **If more than one input disturbance variable (DV) influences the primary feedback controller, repeat this procedure for each DV**





# Feedforward Tuning Methodology (Cont'd)

- **Recommended Procedure (Cont'd)**
  - **Perform process response test results analysis for each DV**
    - ❖ Using a tuning or model identification package [e.g., ExperTune, University of Connecticut's (UConn) Control Station, MathWorks MATLAB + System ID Toolbox, etc.]
  - **Estimate input disturbance process gain (including sign), deadtime, and first order time constant**
  - **Use feedforward tuning constant rule set\* or tuning and simulation package to obtain feedforward gain, lead, lag, and if req'd delay**
  - **Commission and test combined feedforward-feedback loop**

\*The author's rule set follows in next slide





# Feedforward Tuning Methodology (Cont'd)

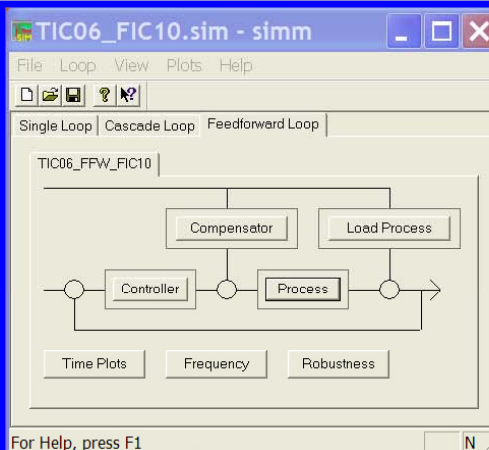
- **Recommended Procedure (Cont'd)**
  - **1<sup>st</sup> pass feedforward tuning constant rule set**
    - ❖ Feedforward Gain = Load Disturbance  
Process Gain\*/Controlled Variable Process Gain\*\*
    - ❖ Feedforward Lead = (1.3-1.5) x Controlled  
Variable 1<sup>st</sup> Order Process Time Constant\*\*
    - ❖ Feedforward Lag = (1.1-1.3) x Load  
Disturbance 1<sup>st</sup> Order Process Time  
Constant\*
    - ❖ Feedforward Delay = Load Disturbance  
Process Deadtime\* - Controlled Variable  
Process Deadtime\*\* (ignore if less than 0)

\*Normalized effect of load disturbance variable (DV) change on primary process control var. (CV)

\*\*Normalized effect of primary feedback controller manipulated variable (MV) move on primary process control var. (CV)



# Simulated Feedback Only Temp. Ctl. Loop Performance – FIC10 20% Load Disturbance



Workspace Se... ? X

Simulation Plots Appearance

Timing

Length of Time Plots	4
Pulse and Ramp Length	1
Time of SP or CO Change	2
Time of Load Upset	1

Step Sizes

Load Upset Size (%)	20
Original Setpoint or CO (%)	50
New Setpoint or CO (%)	60

OK Close Apply

TIC06\_FFW\_FIC10 ? X

Load Process Compensator Controller

Time In hour Change Time Units

Gain: 1e-005

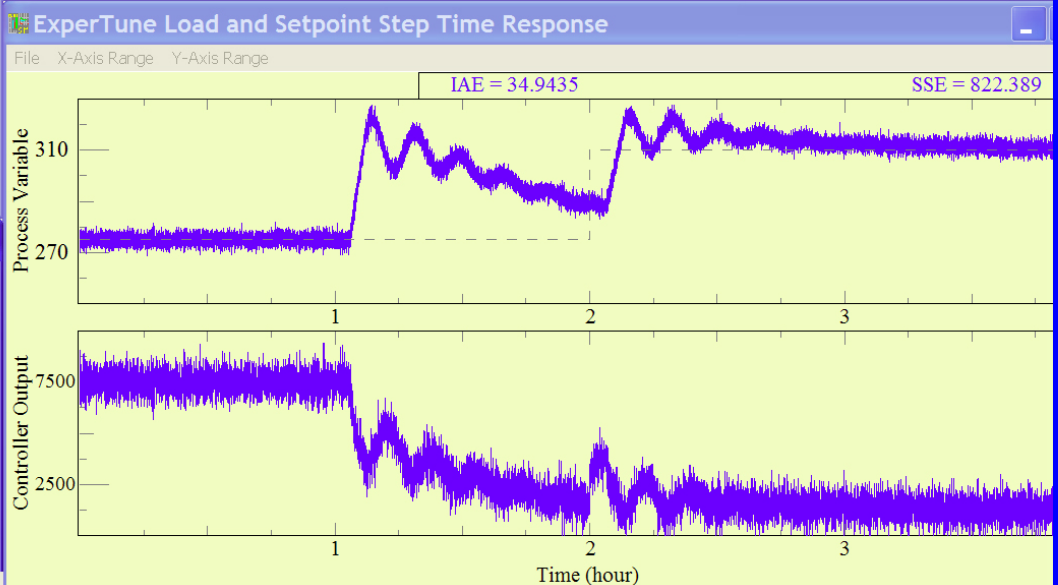
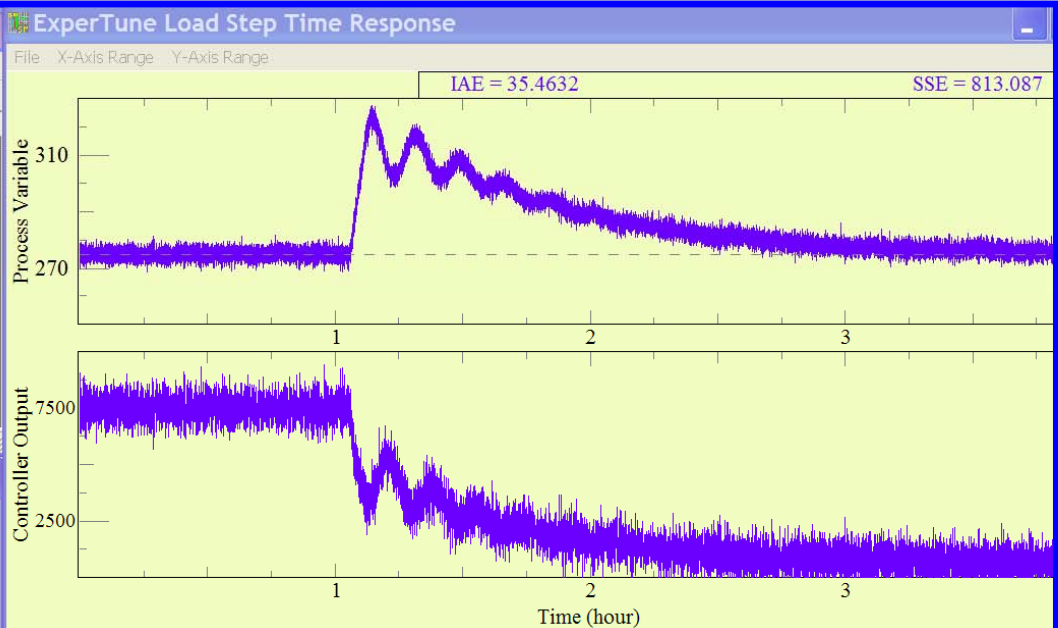
Dead Time: 0

Lead Time: 0.28

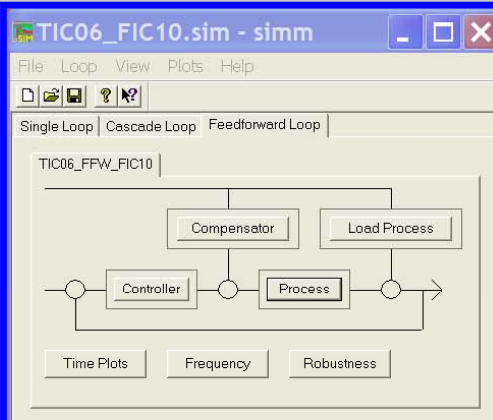
Lag Times: 0.67 0

Suggest Values

Copy OK Close Apply



# Simulated Combined FFD-FDBK Temp. Ctl. Loop Performance – FIC10 20% Load Disturb.



For Help, press F1

Workspace Se... ? X

Simulation Plots Appearance

Timing

Length of Time Plots 4

Pulse and Ramp Length 1

Time of SP or CO Change 2

Time of Load Upset 1

Step Sizes

Load Upset Size (%) 20

Original Setpoint or CO (%) 50

New Setpoint or CO (%) 60

OK Close Apply

TIC06\_FFW\_FIC10 ? X

Load Process Compensator Controller

Time In Hour Change Time Units

Gain: 2.3

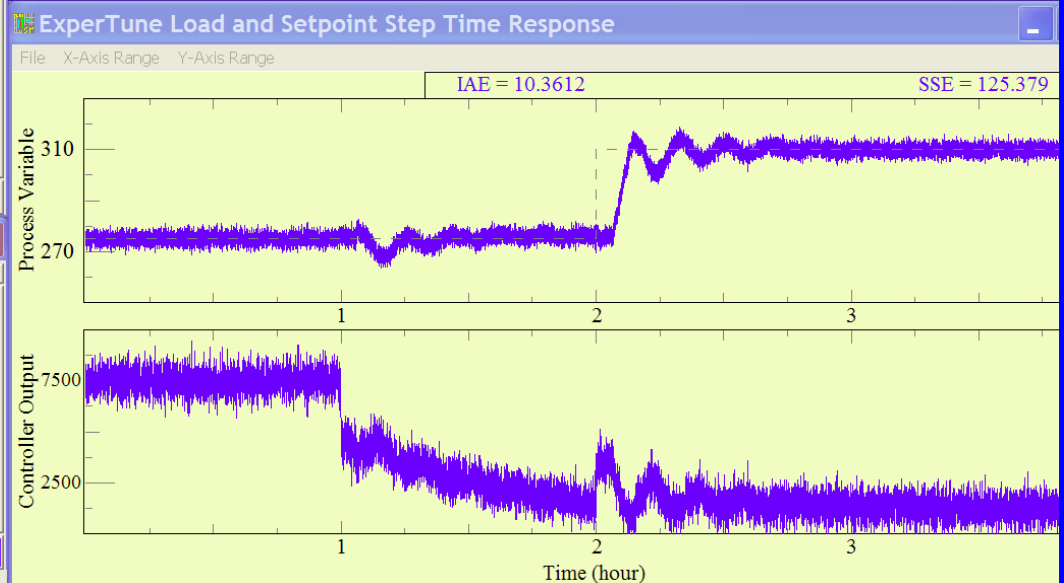
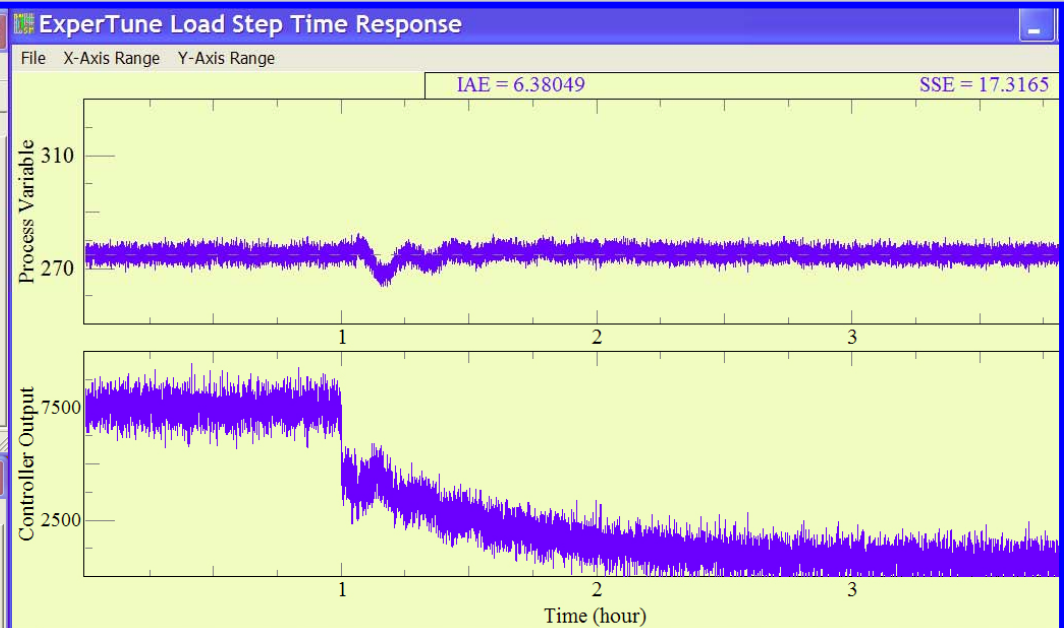
Dead Time: 0

Lead Time: 0.28

Lag Times: 0.67 0

Suggest Values

Copy OK Close Apply







# Example 1: Distillation Col. Combined Feedforward-Feedback Control Results

- Adding three combined feedforward-feedback control loops with dynamic compensation achieved:
  - Routine solvent purification column operation within environmental emissions constraints
  - Substantially reduced solvent loss
  - Estimated savings:
    - ❖ ~ \$100K/year in solvent recovery
    - ❖ Unestimated \$/year in avoidance of environmental emissions excursion fines





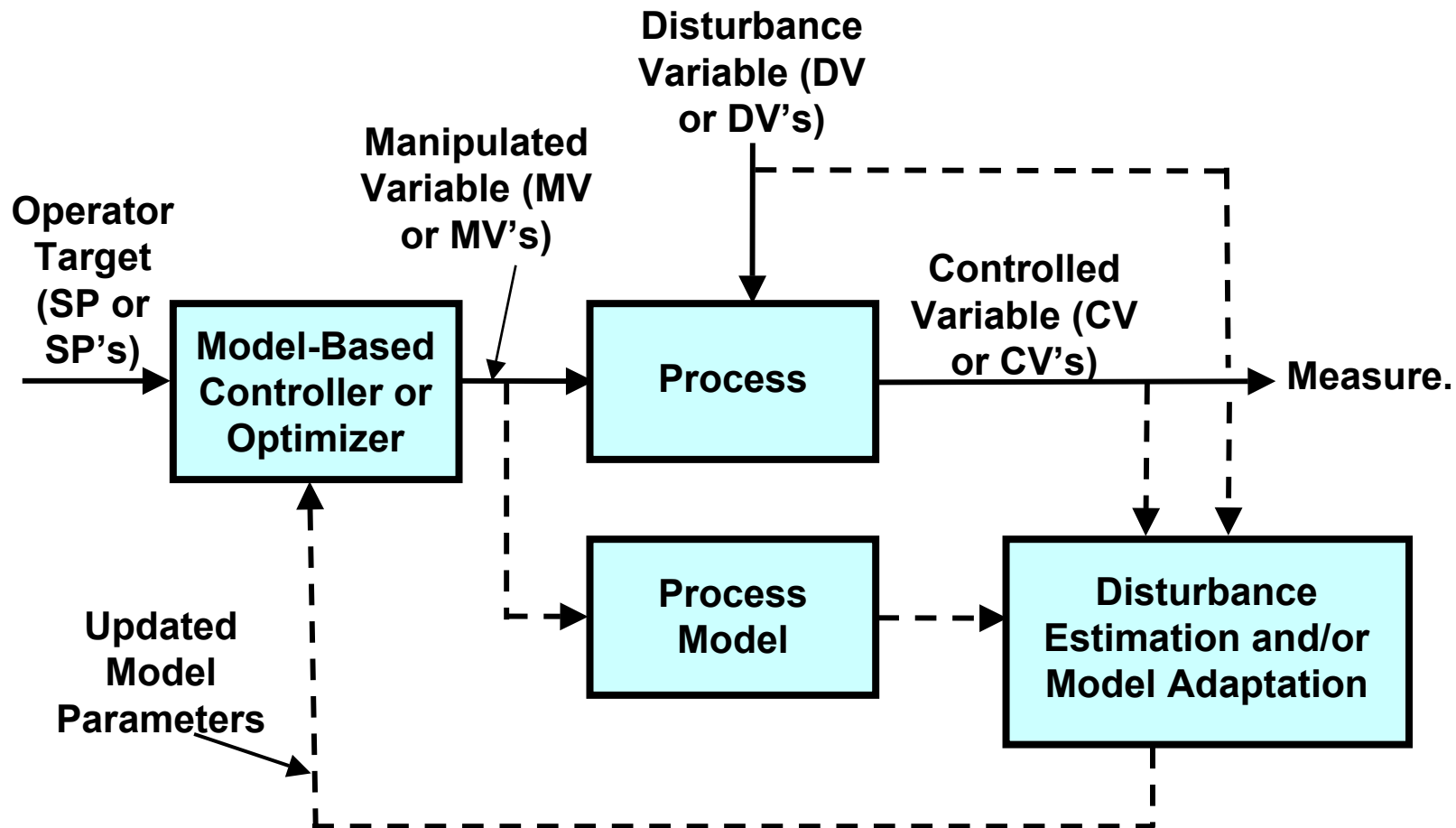
# Model-Based Control

- **What is Model-Based Control?**
  - Embeds a process model in the control algorithm to better achieve the control objective
- **Some Model-Based Control Examples**
  - Internal Model Control
    - ❖ Smith Predictor with PID Feedback Control
  - Adaptive Model-Based Control – Feedback Controller Tuning Constants Online Adjustment
  - Adaptive Model-Based Control - Process Model Parameters Online Adjustment
  - Model Predictive Control
  - Many Other Variants and Commercial Products...





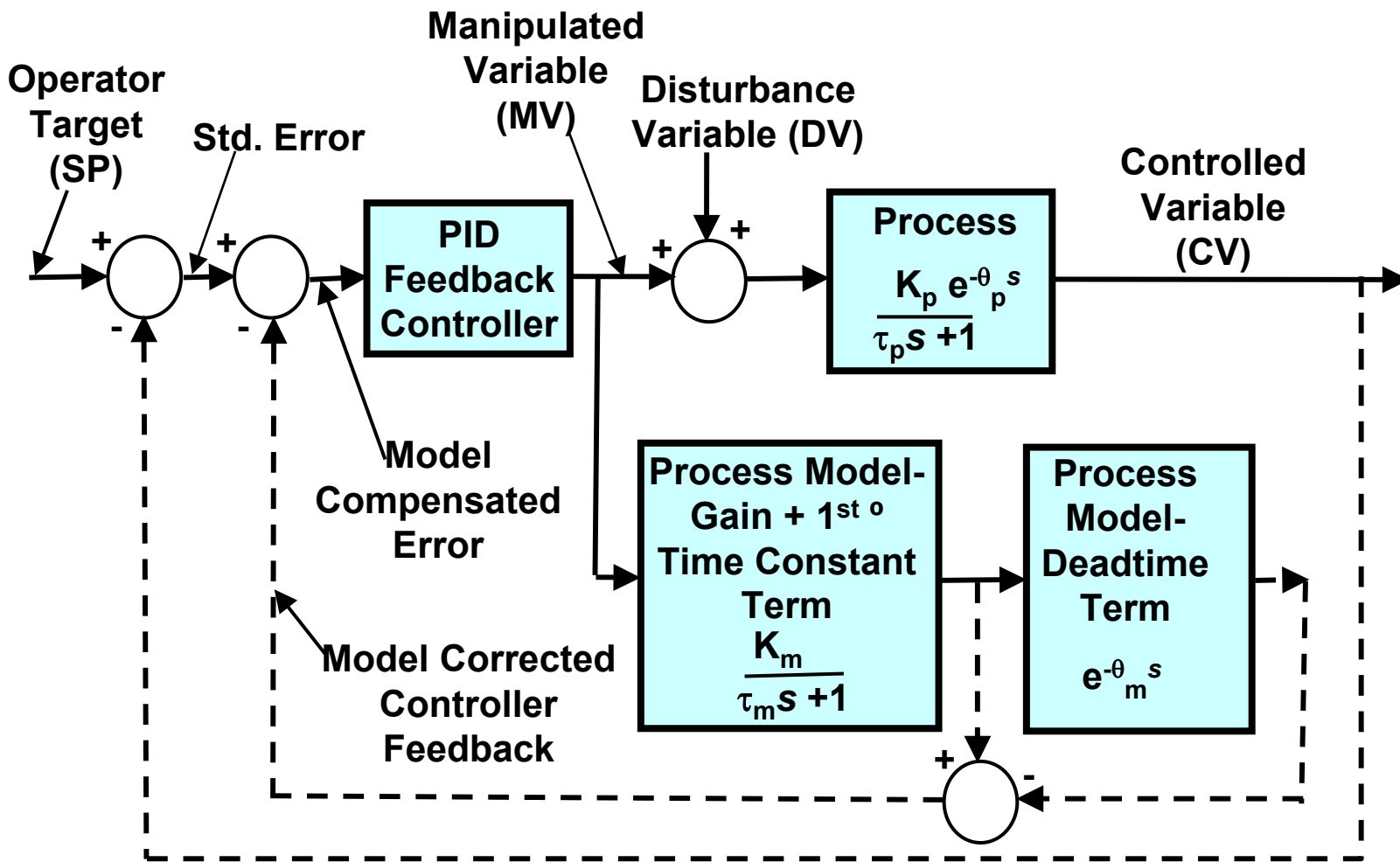
# Generic Model-Based Control Block Diagram



Note: the above diagram was extracted from Techniques of Model-Based Control, Brosilow & Joseph ©2002 Prentice-Hall, and was modified by the presenter.



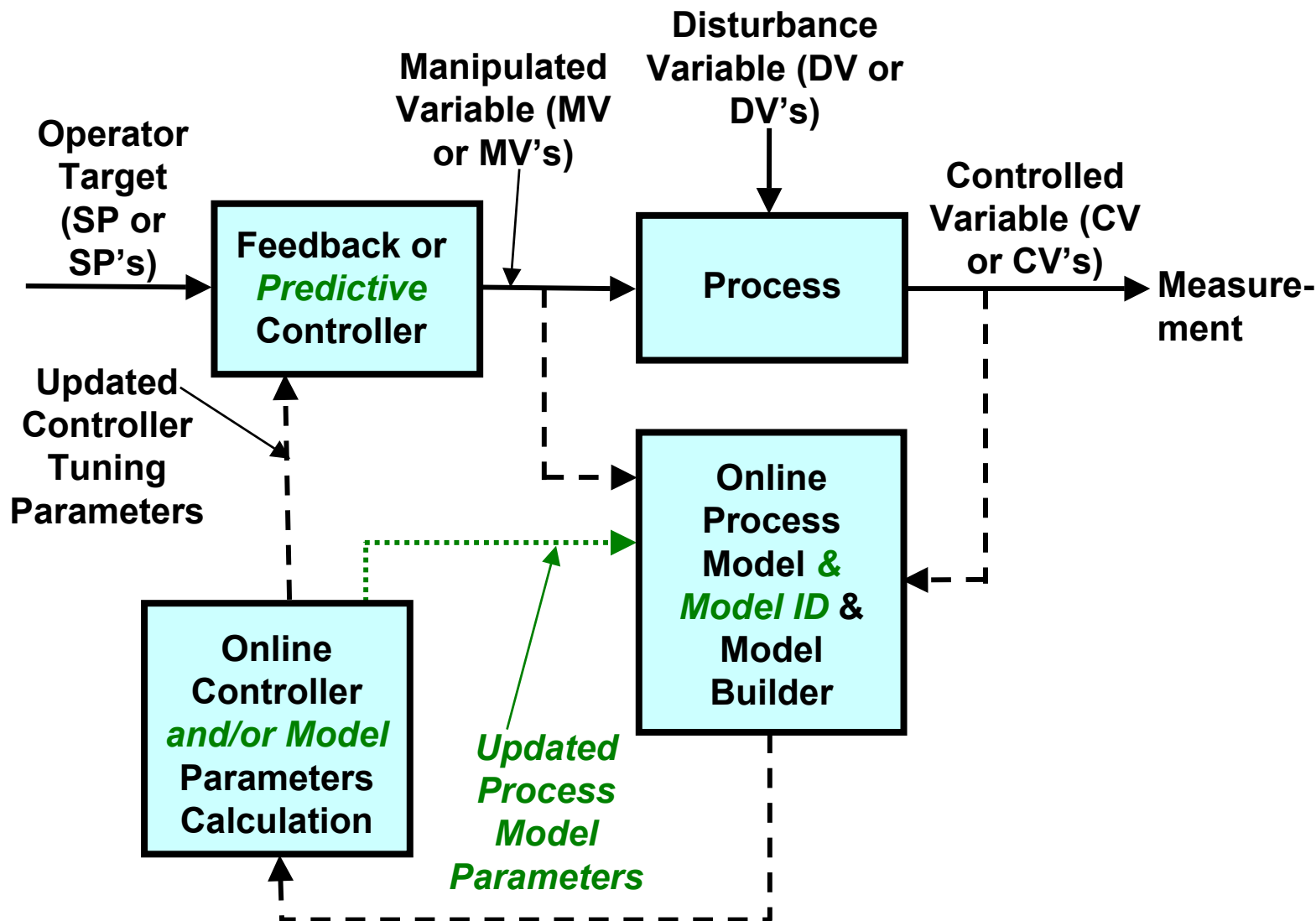
# Smith Predictor with PID Feedback Control Block Diagram



Note: the above diagram was extracted from Reg. & Adv. Reg. Control: Sys. Dev, H. L. Wade ©1994 ISA and Fundamentals of Process Control Theory 3<sup>rd</sup> e., P. W. Merrill ©2000 ISA, and was modified by the presenter.



# Generic Adaptive Model-Based Control Block Diagram



*Note: descriptions in italics = capabilities of more sophisticated controllers*



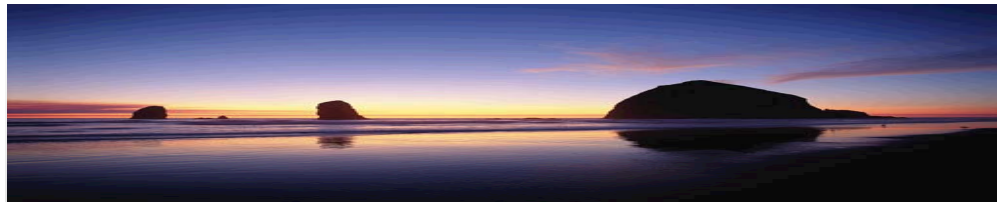
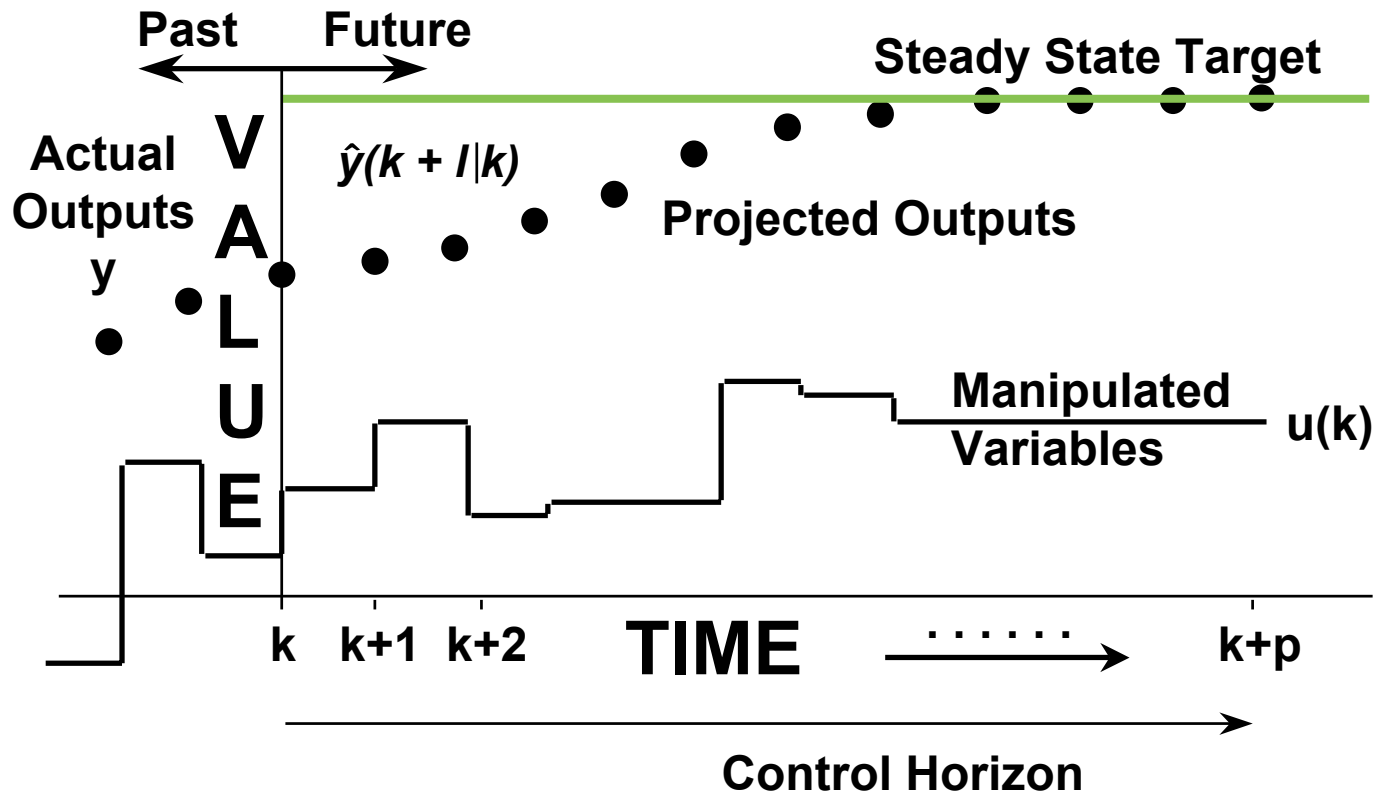
# Model Predictive Control Definition and Features of Interest

- **MPC- class of model-based control algorithms that compute a sequence of manipulated variable (MV) moves in order to optimize the future behavior of a plant**
  - Solves control and optimization app mathematically online in real-time
  - Uses *linear* dynamic models to predict plant behavior (Feedforward)
  - Corrects for mismatch between actual plant behavior and model (Feedback)
  - May include operating constraints (constrained or unconstrained MPC)
  - May include dynamic cost optimization (objective) function





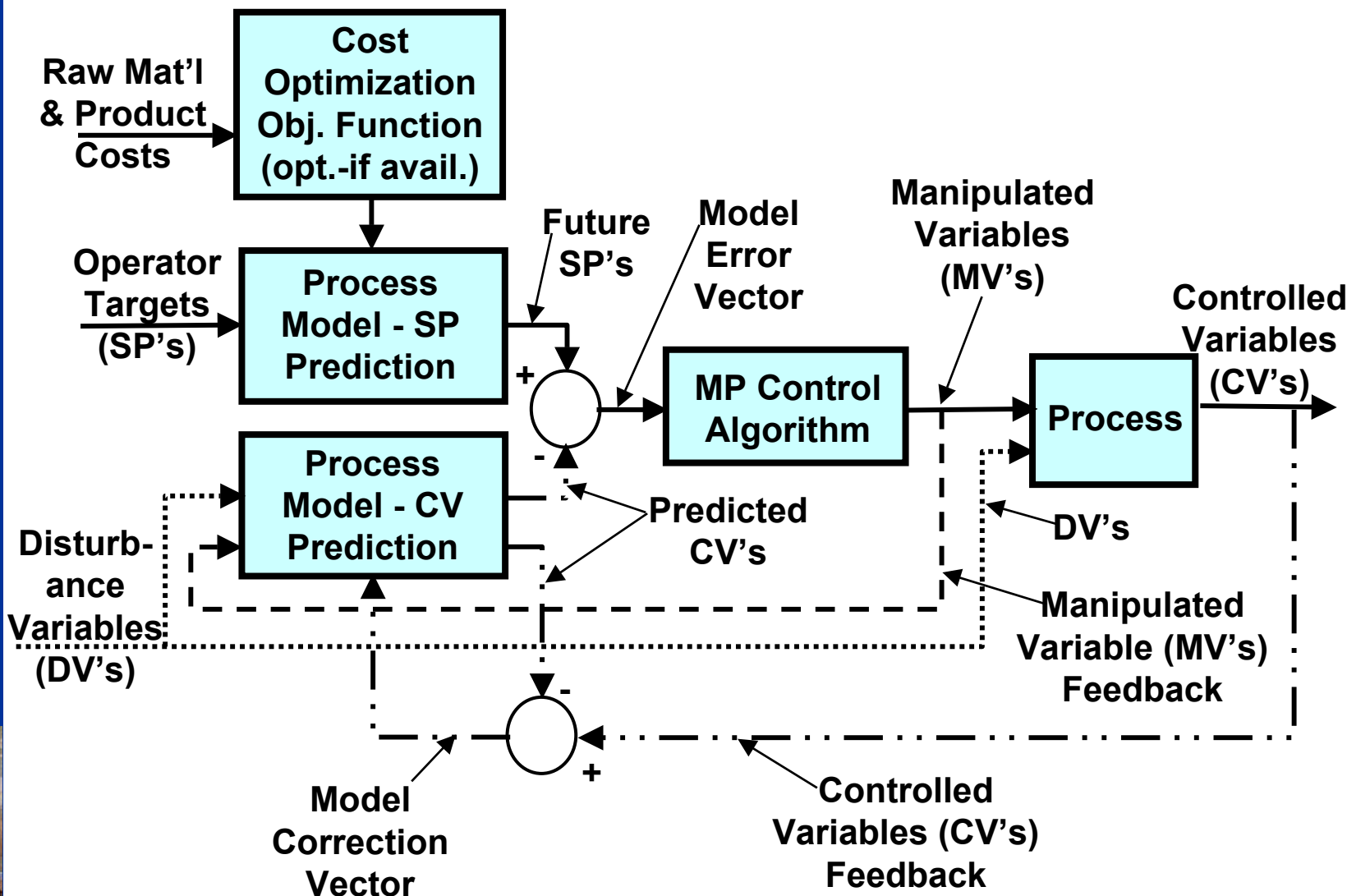
# How Model Predictive Control Works







# Generic Unconstrained Model Predictive Control Block Diagram



Note: the above diagram was extracted from *Advanced Control Unleashed*, G. K. McMillan et al ©2003 ISA, and was modified by the presenter.



# Model Predictive Control Advantages

- **Controls complex multivariable processes and can handle:**
  - **Large plant controller-related variable set**
    - ❖ Typical example: 100 CV's/30 MV's/10 DV's
  - **Interactive variable and integrating process dynamics**
  - **Long dead time and time constant processes**
- **Can (depending on ctrl cap.) perform real-time economic optimization**
  - **Optimum SP range vs. single SP for each CV**
  - **Includes raw material and product costs**
- **Achieves fastest plant production rate ramping**



# Model-Based & Adaptive Controller Suppliers

- **Model-Based (Non-Adaptive) Controller Supplier**
  - **ControlSoft, Inc. MMC - Modular Multivariable Ctlr (2/3/0/0)**
    - ❖ <http://www.controlsoftinc.com/mmc.shtml>
- **Adaptive Model-Based Controller Supplier**
  - **Universal Dynamics Technologies BrainWave® (1/1/3/0) + BrainWave® MultiMax (12/12/36/NA)**
    - ❖ [http://www.brainwave.com/product/product\\_index.html](http://www.brainwave.com/product/product_index.html)
- **Adaptive “Model-Free” Controller Supplier**
  - **CyboSoft™ (General Cybernation Group, Inc.) CyboCon (12/3/3/NA)**
    - ❖ <http://www.cybosoft.com/index.html>

Note: ( \_/\_/\_/\_ ) = controller capability for CV's/MV's/DV's/AV's (AV=constraint)



# Model Predictive Controller Suppliers

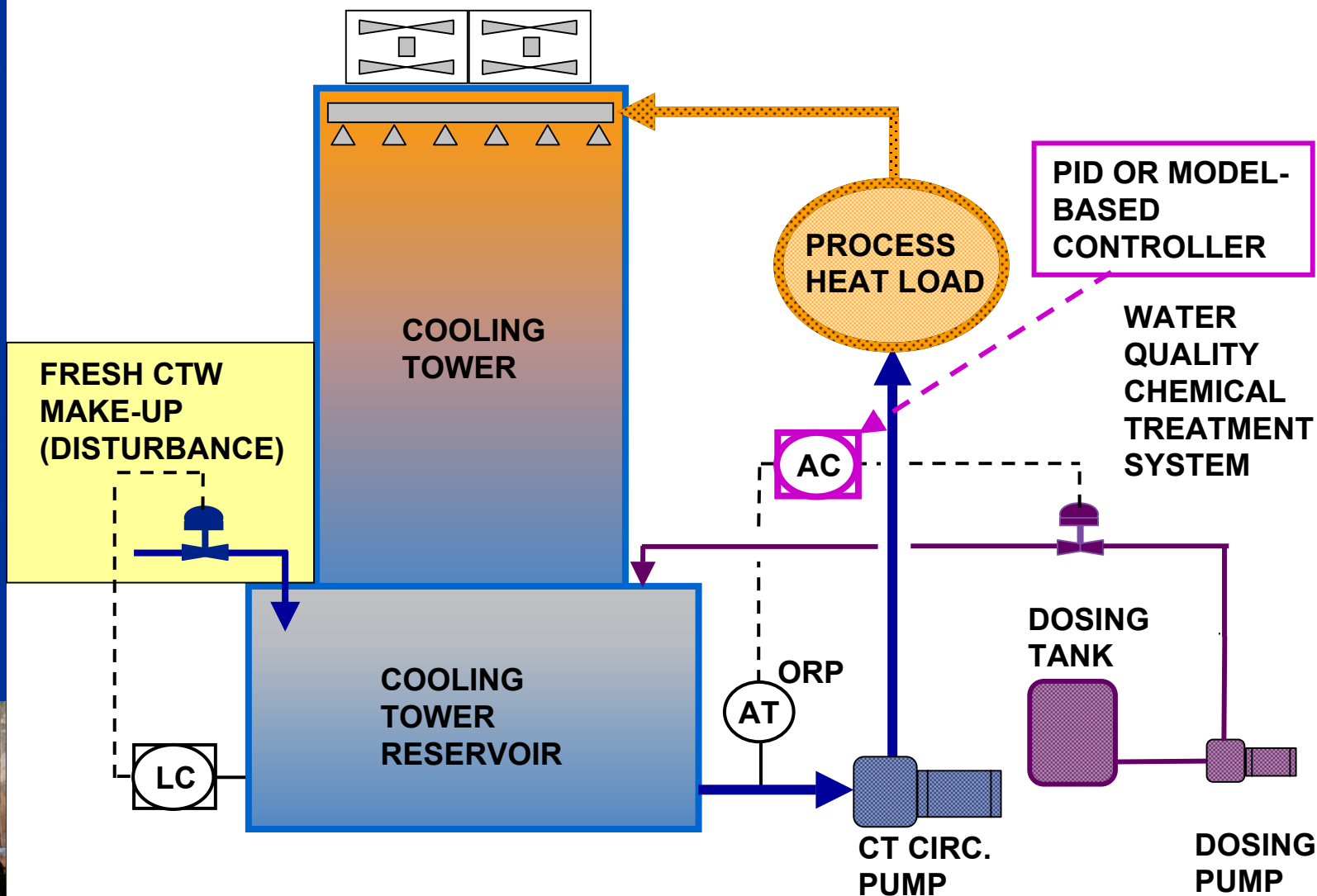
- **Model Predictive Controller Suppliers**
  - **Aspen Technology DMCplus® (100's/100's/etc.)**
    - ❖ <http://www.aspentech.com/>
  - **Emerson Proc. Auto. DeltaV™ Predict (4/4/4/4)**
    - ❖ <http://www.easydeltav.com/>
  - **Honeywell Profit® Robust Multivariable Predictive Controller (200/100/100/300)**
    - ❖ <http://www.acs.honeywell.com/ichome/>
  - **Intelligent Optimization GMAXC™ (40/25/10/40)**
    - ❖ <http://www.intellopt.com/GMAXC.htm>
    - ❖ 3<sup>rd</sup> party S/W solution from Siemens Energy and Automation Div. for APACS+ systems  
(<http://www.sea.siemens.com/process/default.html>)

**Note: (\_/\_/\_/\_ ) = controller capability for CV's/MV's/DV's/AV's (AV=constraint)**



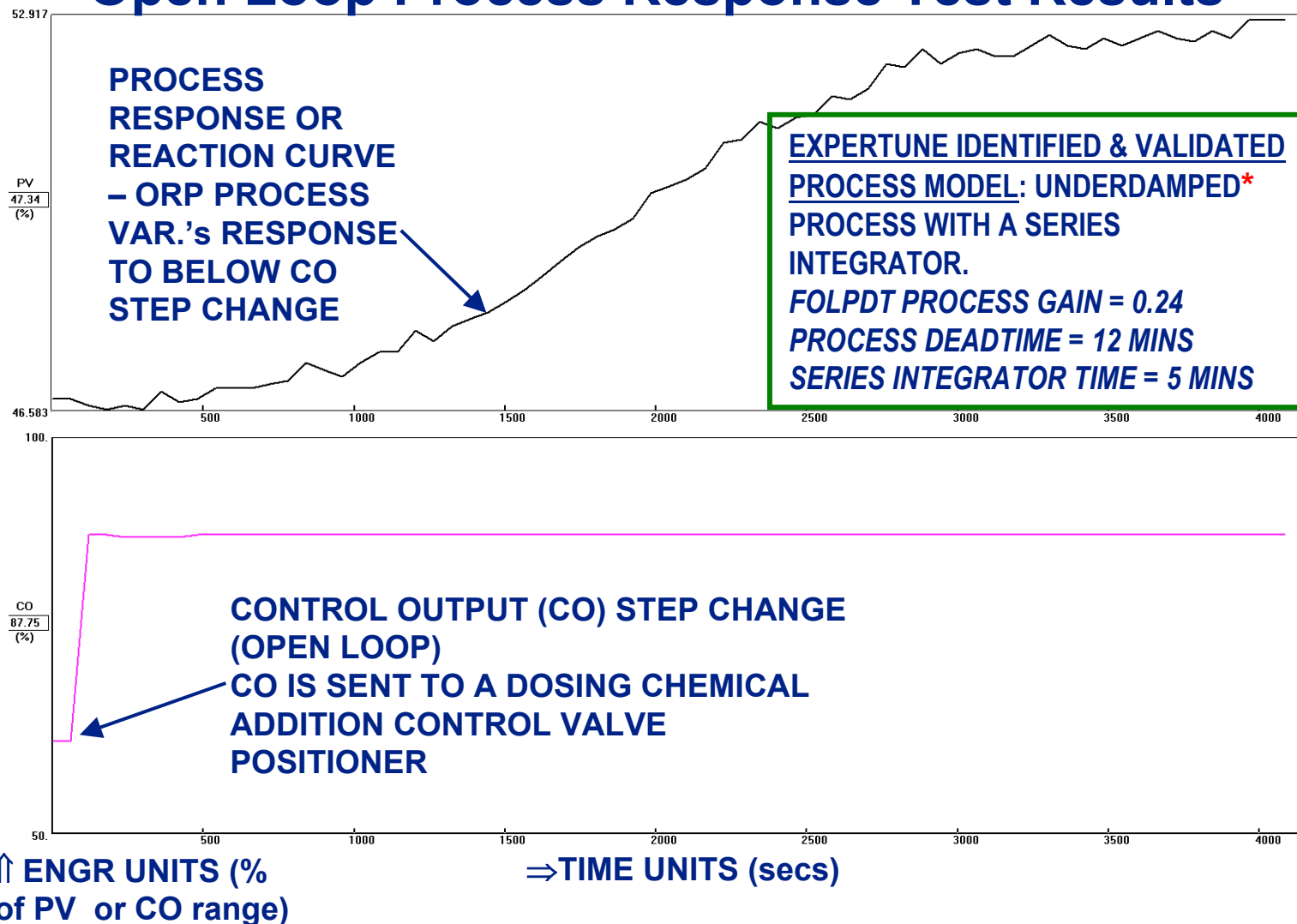


# Example 2: Cooling Tower Water Quality Composition Control Process Schematic



# Example 2: Cooling Tower Water Quality Composition Control – Process Identification

## Open Loop Process Response Test Results



34 \*The Laplace polynomial equation used to model and simulate this underdamped process in the ExperTune Loop Simulator is:  $1/(C_0 + C_1s + C_2s^2 + C_3s^3)$ :  $C_0=4.2$ ;  $C_1=92$ ;  $C_2=670$ ;  $C_3=0$ .

# Example 2: Cooling Tower Water Quality Composition Control – Process Model Dev.

## Simulated Process & Disturbance Models – Control Station

**Custom Process Input Form**

**Construct Process and Disturbance Models**

Process Model: Underdamped Linear Model  
Disturbance Model: Non-Self Regulating (Integrating) Process

Integrator Gain,  $K_p^*$ : 0.13 PV/(CO\*time)  
Natural Period,  $\tau_{pN}$ : 12.63 time units  
Damping Factor,  $\zeta_p$ : 0.8671 time units  
Time Constant,  $\tau_p$ : 5.00 time units  
Lead Time,  $\tau_{pL}$ : 0.0 time units  
Dead Time,  $\theta_p$ : 12.0 time units

**Laplace Domain**

General Model Form

$$PV = \frac{K_p^*(\tau_{pL}s + 1)e^{-\theta_p s}}{s(\tau_p^2 s^2 + 2\zeta_p \tau_p s + 1)(\tau_{pN}s + 1)} CO$$

Current Process Model

$$PV = \frac{0.13 \exp(-12.0s)}{s(159.52s^2 + 21.9s + 1)(5.00s + 1)} CO$$

**Custom Process Input Form**

**Construct Process and Disturbance Models**

Process Model:   
Disturbance Model:   
Zeros and Spans:

Controller Output, CO  
Minimum: 0.0  
Maximum: 100.0  
Startup Value: 50.0

Process Variable, PV  
Minimum: 0.0  
Maximum: 1200  
Startup Value: 600.0

Disturbance, D  
Minimum: 0.0  
Maximum: 1200  
Startup Value: 600.0

Numerical Solution Method: Fast  Accurate

**Custom Process Input Form**

**Construct Process and Disturbance Models**

Process Model: Overdamped Linear Model  
Disturbance Model:   
Zeros and Spans:

Process Gain,  $K_p$ : 1.50  
First Time Constant,  $\tau_{p1}$ : 10.0 time units  
Second Time Constant,  $\tau_{p2}$ : 2.00 time units  
Third Time Constant,  $\tau_{p3}$ : 0.0 time units  
Lead Time,  $\tau_{pL}$ : 0.0 time units  
Dead Time,  $\theta_p$ : 13.0 time units

**Laplace Domain**

General Model Form

$$PV = \frac{K_p(\tau_{pL}s + 1)e^{-\theta_p s}}{(\tau_{p1}s + 1)(\tau_{p2}s + 1)(\tau_{p3}s + 1)} D$$

Current Process Model

$$PV = \frac{1.50 \exp(-13.0s)}{(10.0s + 1)(2.00s + 1)} D$$

Numerical Solution Method: Fast  Accurate

# Example 2: Cooling Tower Water Quality Composition Control – Controller Dev.

## Simulated PID & Model-Based Controller – Control Station

### Controller Design

Controller: ☒ Advanced ☐ Basic

Controller: **PID** ?

Sample Time (minimum = 0.05)  time units

Set Point

Bias (null value)

Adaptive PID:

ON: Proportional - Reverse Acting,  $K_c > 0$

Controller Gain,  $K_c$

ON: Integral with Anti-Reset Windup

Reset Time,  $\tau_i$   time units

ON: Interacting

Derivative Time,  $\tau_d$   time units

Derivative computed on

Derivative Filter Constant,  $\alpha$

Alarm: High  Low

### Controller Design

Controller: ☒ Advanced ☐ Basic

Controller: **PID with Smith Predictor** ?

Sample Time (minimum = 0.05)  time units

Set Point

Bias (null value)

Adaptive PID:

ON: Proportional - Reverse Acting,  $K_c > 0$

Controller Gain,  $K_c$

ON: Integral with Anti-Reset Windup

Reset Time,  $\tau_i$   time units

ON: Interacting

Derivative Time,  $\tau_d$   time units

Derivative computed on

Derivative Filter Constant,  $\alpha$

Alarm: High  Low

### Process Model

Process Gain,  $K_p$

First Time Constant,  $\tau_{p1}$   time units

Second Time Constant,  $\tau_{p2}$   time units

Lead Time,  $\tau_{pL}$   time units

Dead Time,  $\theta_p$   time units

General Model Form

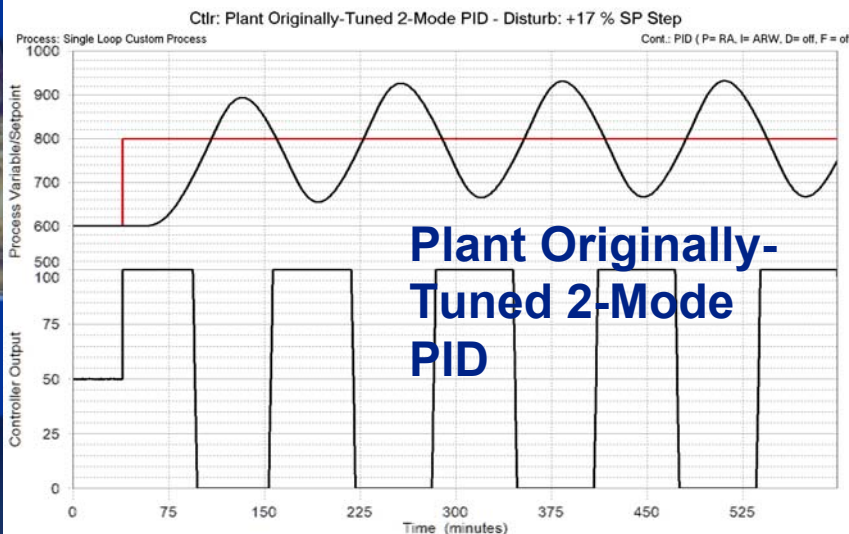
$$PV = \frac{K_p (\tau_{pL} s + 1) \exp(-\theta_p s)}{(\tau_{p1} s + 1) (\tau_{p2} s + 1)} CO$$

Current Process Model

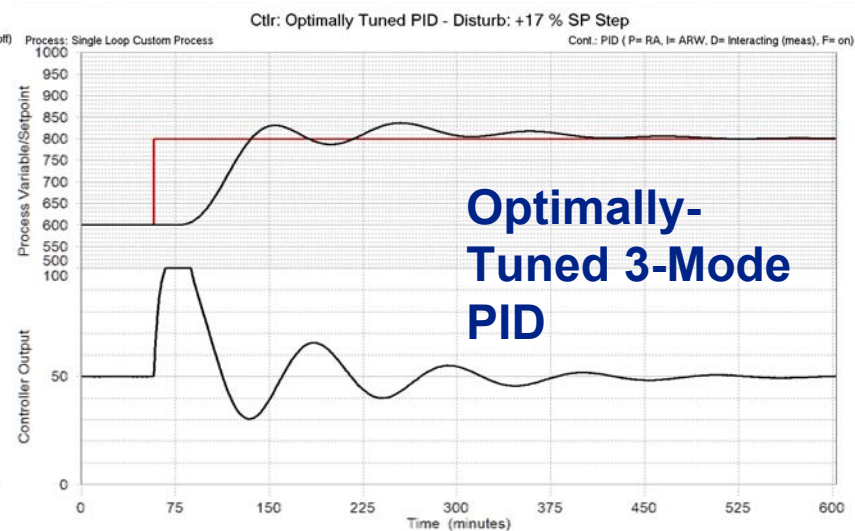
$$PV = \frac{0.24 \exp(-19.0s)}{(18.0s + 1)} CO$$



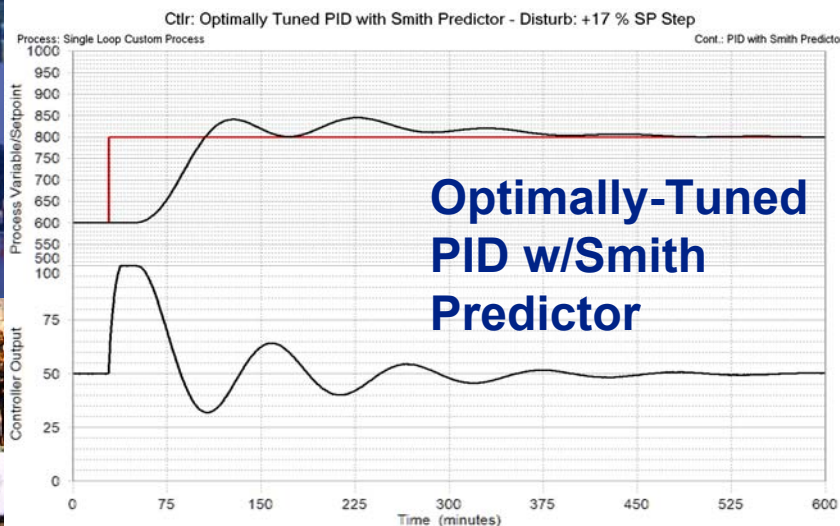
# Example 2: Cooling Tower Water Quality Comp. Ctl Simulated Perform. – SP Step



Tuning: Gain = 6.00, Reset Time = 120.0, Sample Time = 0.05



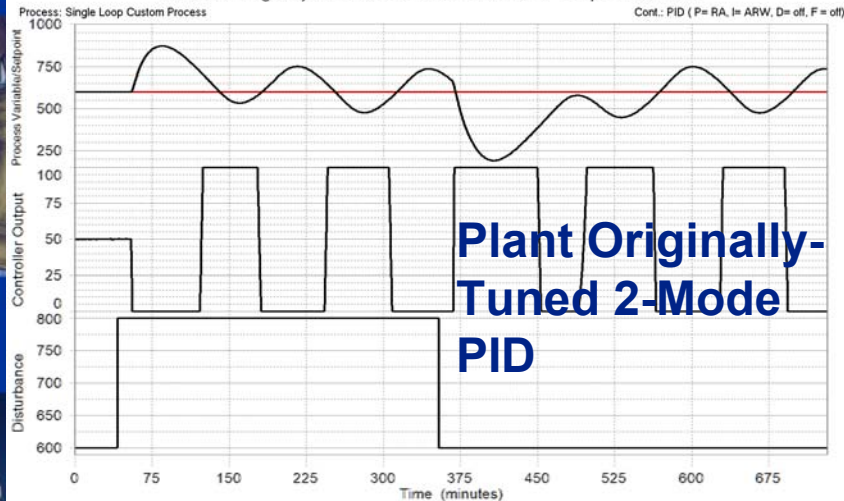
Tuning: Gain = 0.20, Reset Time = 120.0, Deriv Time = 39.0, Filter Con = 0.10, Sample Time = 0.05



Tuning: Gain = 0.20, Reset Time = 120.0, Deriv Time = 39.0, Filter Con = 0.10, Sample Time = 0.05  
Process Model: Gain(Kp) = 0.24, T1 = 18.0, T2 = 0.0, TL = 0.0, TD = 19.0

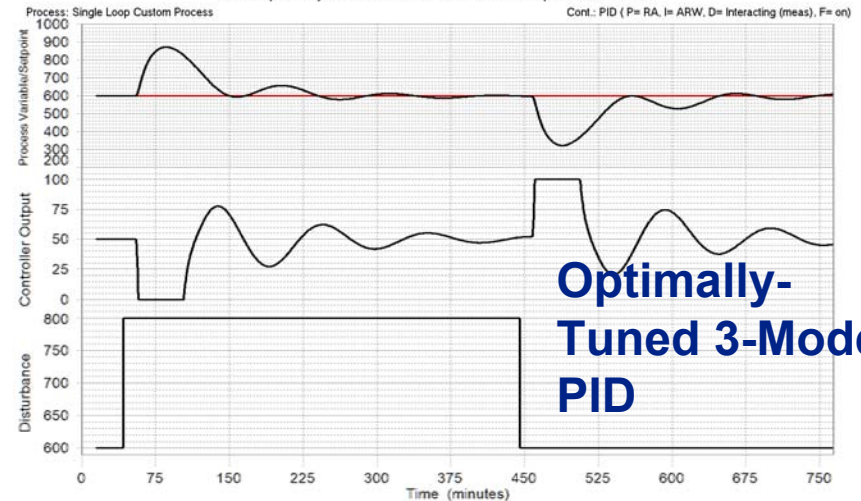
# Example 2: Cooling Tower Water Quality Comp. Ctl Simulated Perform. – Load Pulse

Ctrl: Plant Originally-Tuned 2-Mode PID - Disturb: 17 % Input Load Pulse



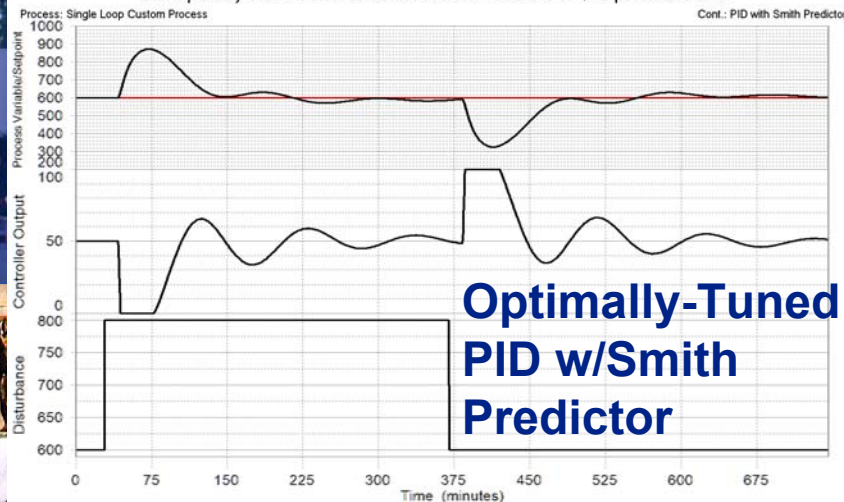
Tuning: Gain = 6.00, Reset Time = 120.0, Sample Time = 0.05

Ctrl: Optimally Tuned PID - Disturb: 17 % Input Load Pulse



Tuning: Gain = 0.20, Reset Time = 120.0, Deriv Time = 39.0, Filter Con = 0.10, Sample Time = 0.05

Ctrl: Optimally Tuned PID with Smith Predictor - Disturb: 17 % Input Load Pulse



Tuning: Gain = 0.20, Reset Time = 120.0, Deriv Time = 39.0, Filter Con = 0.10, Sample Time = 0.05  
Process Model: Gain(Kp) = 0.24, T1 = 18.0, T2 = 0.0, TL = 0.0, TD = 19.0



## Example 2: Cooling Tower Water Quality Composition Control Results

- **Optimal PID Control Tuning Results:**
  - Retuned with step and pulse response testing using ExperTune
  - Retuned loop reduced average ORP Controlled Var. (CV) variance from +/- 20-45% before retuning to ~ average of +/- 5% after retuning
  - **Estimated savings:**
    - ❖ Avoidance of need to shut down the plant and manually chemically clean heat exchange equipment ~ once/year - \$100K
    - ❖ \$5K per year in reduced microbiocide usage







# Summary

- **Advanced Regulatory Control - globally proven to provide major competitive advantage if properly applied and maintained**
  - **Combined Feedforward-Feedback control can minimize the negative impact of routine disturbances for high profit control loops**
  - **Model-Based and Model Predictive Control can handle difficult or complex applications where single loop feedback control is not adequate**
  - **These techniques have been successfully applied to greatly improve plant performance for many decades**
- **Very capable commercial tools are now available to facilitate the process of moving *beyond single loop control***